



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Heinz FOCKE, et al.

Appln. No.: 10/804,229

Confirmation No.: 6072

Filed: March 19, 2004

For: PRODUCTION AND/OR PACKAGING INSTALLATION, AND METHOD OF

OPERATING THE SAME

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

MAIL STOP MISSING PARTS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO/SB/08 A & B (modified) and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No. 10/804,229

filing a request for continued examination (RCE) under §1.114, and therefore, no Statement

under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 CFR §1.98(a)(3) for

foreign language documents, Applicant encloses herewith a copy of a counterpart German

Search Report citing such documents, together with an English-language version of that portion

of the Search Report indicating the degree of relevance found by the German Patent Office.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

Respectfully submitted,

John H. Mion

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WASHINGTON OFFICE

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CUSTOMER NUMBER

Date: July 26, 2004

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Substitute for form 1449 A & B/PTO	Complete if Known		
Subamme to Committee and a series	Application Number	10/804,229	
INFORMATION DISCLOSURE	Confirmation Number	6072	
STATEMENT BY APPLICANT	Filing Date	March 19, 2004	
ル 	First Named Inventor	Heinz FOCKE (deceased)	
(use as many sheets as necessary)	Art Unit	3721	
(use as many sheets as necessary)	Examiner Name	NOT YET KNOWN	
Sheet 1 of 1	Attorney Docket Number	Q-80404	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number		Publication Date	
		Number	Kind Code ² (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US			

FOREIGN PATENT DOCUMENTS							
	Cite	F	Foreign Patent Document		Publication Date	Name of Patentee or	
	No.1	Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶
		DE	199 14 297	A1	10/5/2000	FOCKE & CO.	
		DE	196 35 598	A1	3/15/1998	FOCKE & CO.	
		JP	10207950		8/7/1998	NEC CORPORATION	
		JP	2002091543		3/29/2002	ASAHI BREWERIES, LTD.	
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OTHER ART - NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*			Translation	
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Examiner Signature	Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ³Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is indicate here if English language Translation is attached.